

06-07-01

A

# Utility Patent Application Transmittal

Attorney Docket Number

IN 001DIV

First Named Inventor

Hiserodt et al.

Title

Cancer Immunotherapy Using Autologous Tumor Cells...

Express Mail Label No.

EL 923 480 185 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Fee Transmittal Form (e.g., PTO/SB/17)

Submit an original and a duplicate for fee processing

Applicant claims small entity status.  
See 37 CFR 1.27.7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)3. ☒ Specification (preferred arrangement set forth below) Total Pages

77

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

- i. ☐ CD-Rom or CD-R (2 copies); or
- ii. ☐ Paper

c. ☐ Statements verifying identity of above copies4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets

8

Oath or Declaration Total Pages

4

a. ☐ Newly executed (original or copy)b. ☒ Copy from a prior application (37 CFR 1.63(d))i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

## ACCOMPANYING APPLICATION PARTS

- 9. ☐ Assignment Papers (cover sheet & documents(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 13. ☒ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized)
- 15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
- 17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08/901,225 Filed July 24, 1997

Prior Application Information: 08/901,225 Examiner G. Bansal Group Art Unit: 1642

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## Fee Calculation

## CLAIMS AS FILED

For	#Filed	# Allowed	#Extra	Rate	Fee
Total Claims	32	-20=	12	9.00	\$108
Indep. Claims	3	-3=	0	x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
BASIC FEE					\$355.00
OTHER FEE (specify purpose)					
TOTAL FILING FEE \$					463.00

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this sheet is enclosed.

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

19. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

**19. CORRESPONDENCE ADDRESS**

Name (Print/Type)		Carol L. Francis		Registration No.		36,513	
Signature		<i>Carol L. Francis</i>				Date	
Firm Name		Bozicevic, Field & Francis LLP		Address		200 Middlefield Road, Suite 200	
City		Menlo Park		State		California	
zip		94025		Telephone		650-327-3400	
Fax		650-327-3231		Telephone		650-327-3400	